



BROCHURE

Next Generation Compliance for Cloud Trading

The first cloud-native compliance platform for Cloud9

 SYMPHONY  CLOUD9

turning communication into trust

Cloud Trading with centrally managed compliance

Cloud-based trading communications give financial institutions greater flexibility, on-demand scalability, and location-independent access. At the same time, requirements for security, transparency, and traceability continue to increase.

Trading communications therefore need to be captured completely, archived in a tamper-proof manner, and analyzed intelligently, regardless of where traders are working.

The ASC Communications Compliance Platform extends Cloud9 with Recording, Archiving, and Analytics. This enables financial institutions to manage their compliance processes centrally on one integrated platform.



The only cloud-native compliance platform for Cloud9

Cloud9 brings the trading floor to the cloud. The ASC Communications Compliance Platform extends Cloud9 with enterprise-grade compliance through Recording, Archiving, and Analytics.

This gives financial institutions complete visibility into their trading communications and provides the foundation for efficient, future-ready **communications compliance**.

Benefits of the ASC platform

- **Native integration**
Direct integration through the Cloud9 Push API without additional infrastructure or media disruptions.
- **Enterprise-grade architecture**
Designed for highly scalable performance in business-critical trading environments.
- **Cloud-native platform**
Securely hosted in Microsoft Azure with encryption and a geo-redundant architecture.
- **Compliance & Agentic AI**
Automated transcription, summaries, risk detection, and real-time notifications.
- **Future-ready platform**
A platform that scales with the evolving requirements of modern cloud trading environments.

Complete visibility into every trading communication

One platform for communications compliance.



Native integration

Direct integration through the Cloud9 Push API without additional infrastructure or media disruptions.



Record

Capture trader voice communications completely through the Cloud9 Push API across all channels without gaps.



Archive

Archive communications in a tamper-proof repository in Microsoft Azure with encryption and support for MiFID II, FCA, and Dodd-Frank requirements.



Analyze

AI-powered analytics for 100% of conversations. Automatically identify and prioritize risks, trends, and policy violations.



Search, playback, reporting

Quickly search specific conversations, replay recordings, and access compliance reports anytime through a browser-based interface.



Transparency & governance

One central platform that provides complete visibility across all communications and supports informed compliance decisions.



Trusted partnership

ASC and Symphony ensure a stable and future-ready recording environment through ongoing interoperability testing.

RECORDING. ARCHIVING. ANALYZING.

Ready for next-generation communications compliance?

See how the ASC Platform extends Cloud9 with **recording**, **archiving** and **AI-powered analytics**.

[Book a live demo now >](#)

ABOUT ASC TECHNOLOGIES

ASC turns communication into trust. In a world of growing regulatory complexity, ASC ensures that digital interactions are secure, reliable, and come with full audit-trails. The integrated platform for communication compliance captures and analyzes communication data, providing a centralized view across all media. Companies gain control over their communications, identify risks early on, and make evidence-based decisions. This is not just about innovation, but about responsibility. With headquarters in Germany, subsidiaries in 15 locations, and partners in over 60 countries, ASC is a leading provider of communication governance in Europe and worldwide. ASC protects what is most valuable to companies: their customers' trust.

turning
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LEADING IN COMMUNICATION COMPLIANCE

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