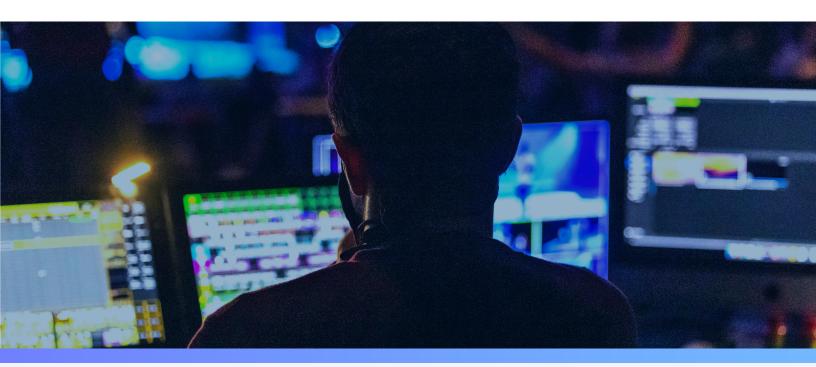


#### COMPLIANCE PRIMER - FEDERAL RULES

# Federal Railroad Administration Recording Regulations

While there isn't a federal regulation that explicitly mandates the recording of all communications between railroad operators staff, several regulations indirectly encourage or require recording in specific scenarios.



Mitigate Risks with ASC Technologies' Recording and Al Solutions



### Federal Railroad Administration

- 49 CFR Part 228: This regulation aims to prevent fatigue-related accidents by limiting work hours and ensuring rest periods for railroad employees. It requires records of duty hours, including any periods of communication that might be considered part of work duties.
- 49 CFR Part 219: This regulation establishes standards for drug and alcohol testing programs. If an incident occurs and there's suspicion of impairment, recordings of communications could be used as evidence.
- 49 CFR Part 236: This regulation mandates certain safety procedures for train control systems. If an incident involves a failure of these systems, recordings of communications leading up to the incident could be crucial for investigation.
- 49 CFR Part 220: This regulation covers the need to have reliable communication systems in place to prevent accidents and ensure the safety of employees and the public and contains provisions for recording related communications.

## How ASC Helps you with Compliance

Aside from compliance regulations set by the Quebec Privacy Laws, MiFID II, Dodd-Frank, and PCI DSS, companies also need to comply with data security regulations like the GDPR. ASC's solutions makes sure that companies meet both regulatory requirements. Designed for today's modern communications environments ASC make it secure and easy to comply with the highest data security standards and sets its solutions apart from others.

- Al helps instantly detect potential compliance breaches
- Configurable recording rules, access rights
- Secure and selectable storage & archiving options
- Tenant-specific data encryption / bring your own key
- Approval process for access and replay
- Customizable retention times & secure deletion process
- Audit-log reports

#### **About ASC Technologies**

ASC is a worldwide leading provider of software and cloud solutions in the field of omni-channel recording, quality management, and analytics. Among our target groups are all companies that record their communications, especially financial service providers, contact centers, and public safety organizations. We offer solutions for recording as well as Al-based analysis and evaluation of all communications – with full flexibility as a cloud service, on-premise or as a hybrid solution. Headquartered in Germany with subsidiaries in 14 countries and experienced system integration partners in over 60 countries, ASC is the #1 Europe-based player in its industry.



