RECORDING INSIGHTS

ASC

AI Policy Engine
Maximum Transparency for Compliance with AI

Expand **Recording Insights** for proactive compliance and efficient processes

Solution certified for Microsoft Teams

Compliance and Efficiency with the **AI Policy Engine**

Elevate compliance from minimum requirements to proactive risk management

Simply recording conversations meets legal standards but doesn't prevent compliance risks.AI fills this gap, detecting violations, fraud, and policy breaches in real time. Take full control of your compliance processes with the AI Policy Engine.

Key Benefits of the AI Policy Engine

- ⊘ Complete Compliance Overview
- ⊘ Risk Detection
- ⊘ Regulatory Adherence
- ⊘ Efficiency Boost

Why Manual Audits can Expose your Business to Compliance Hazards

In today's highly regulated financial industry, customer communications is a vital and sensitive aspect of doing business. However, many companies operating in regulated markets face risks and challenges in managing and analyzing their communication data.

Recording conversations is mandatory for the financial industry. However, **due to the limited capacity of compliance managers, only a small percentage of calls can be reviewed**. This highlights the need for more efficient compliance measures to reduce the risk of undetected breaches.

The need for automation to manage compliance

150

agents in calls for ø 4 h per day.

→

138,000 h

of conversation per year.

→

1.33 %

can be checked on avarage by on 1 compliance manager.

Regulated industries need automated solutions to effectively manage their communications data and prevent compliance breaches. With AI data analytics, that's possible.

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AI Policy Engine
powered by Azure

Enabling Compliance and Turning Data Into Business Value With Azure AI Services and Azure OpenAI

Compliance Monitoring
Monitoring compliance with internal and external requirements.

Keyword Spotting
Automatic search and display of keywords and adherence phrases.

AI Policy Templates
Ready-to-use policies to implement compliance regulations such as MiFID II, FCA, and Dodd-Frank.

Automatic Categorization
Automatically categorize all communications according to their compliance relevance.

Transcription and Translation
Document conversations with automatic transcription and translation into 100+ languages.

Fraud Detection and Risk Alerting
AI-powered detection of potential fraudulent behavior or threats, even in real-time.

Dashboards and Reporting
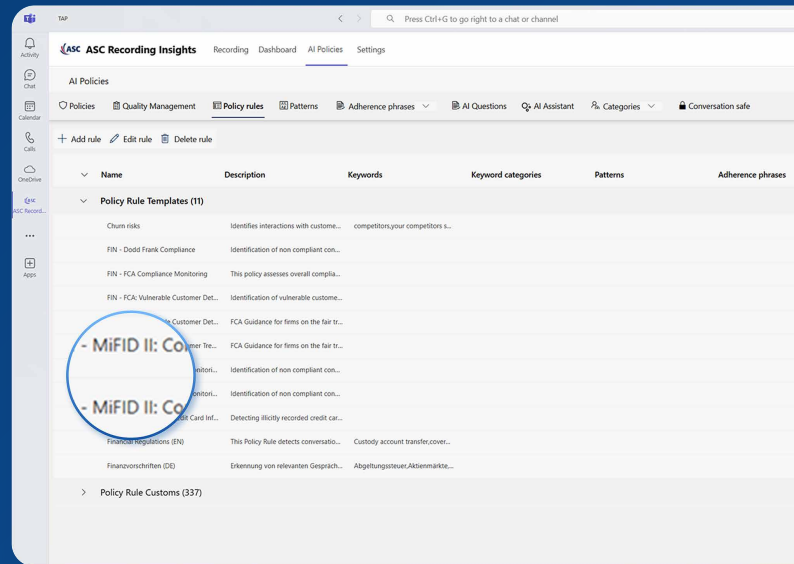Dashboards with customized reporting and analysis.

AI Policy Templates
for Finance Needs

In Recording Insights, the AI Policy Engine offers preconfigured AI policy templates that are specifically tailored to the requirements of banks and financial institutions.
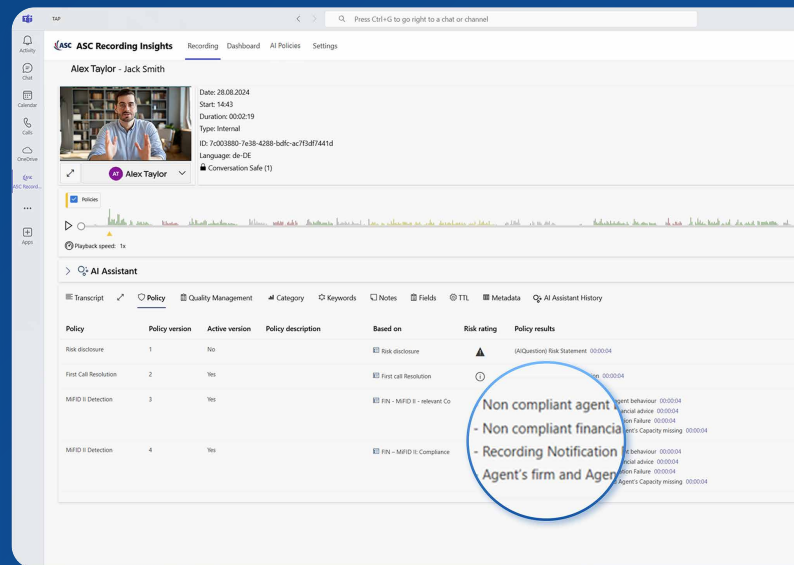
Preconfigured and based on current laws

✓ Ready-to-use compliance templates for MiFID II, FCA & Dodd-Frank.

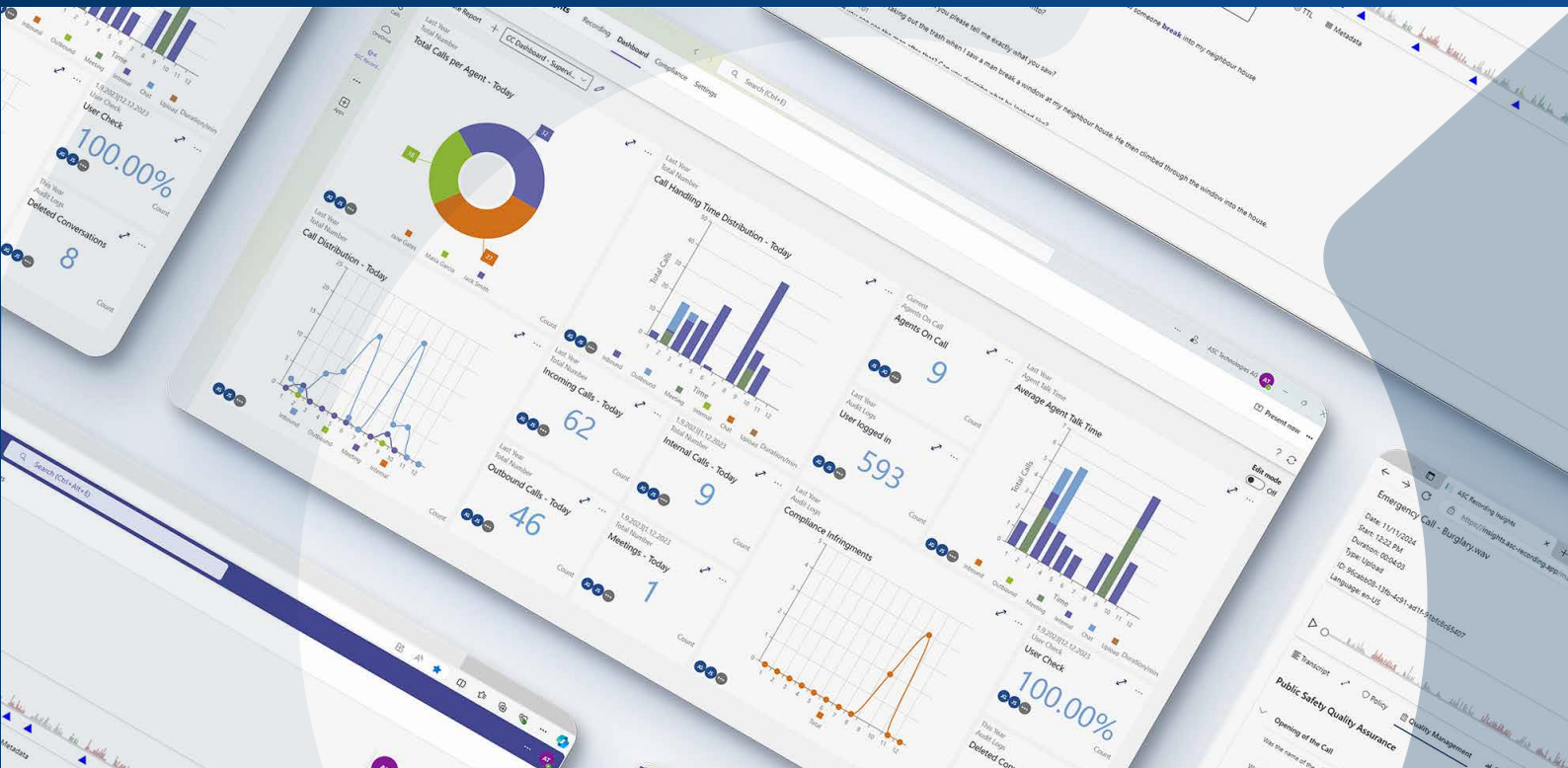✓ Regular updates in the event of changes to legal provisions.

Compliance Check

✓ AI automatically monitors every Teams conversation - without exception.

✓ Monitors in real-time and gives indications of possible violations - no delays, no uncertainties.

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Ready to see the
AI Policy Engine in action?

Start Your **30-Day Free Trial**
or **Book a Demo** Today.

BOOK NOW

ASC Technologies

ASC is a global leader in compliance recording, quality management and AI-based analytics. Our solutions help organizations evaluate their communications-based data, securely meet regulatory requirements, and optimize customer service. Using AI, conversations are analyzed in real-time to detect compliance violations and assess the quality of customer interactions. Financial services, contact center, and public safety organizations rely on ASC to ensure their communication is legally compliant and customer-centric. Our solutions are scalable and flexible - available as cloud services, on-premise, or in hybrid environments. Headquartered in Germany, with subsidiaries in 16 locations and a global partner network in over 60 countries, ASC is the #1 in Europe and sets standards for AI-based communication technology worldwide.

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