



We record & analyze communications

zCapital's Collaboration with ASC: An Innovative Compliance Solution



zCapital is an independent Swiss asset management company specialising in small and mid-cap stocks. Based in Zug, Switzerland, zCapital manages three investment funds investing in Swiss equities. As a collective investment manager under the supervision of the Swiss Financial Market Supervisory Authority (FINMA), zCapital is committed to maintaining the highest regulatory standards.



CLIENT NAME

zCapital AG

INDUSTRY

Financial Services

SOLUTION

Recording Insights
in Microsoft Teams

REGION

Zug,
Switzerland

www.zcapital.ch

Opportunity

In response to the stringent regulatory requirements of the financial industry, zCapital was looking for a comprehensive and easy-to-use solution to record and manage critical communications within their organisation. By implementing ASC's state-of-the-art Recording Insights solution, they have the tools they need to optimise their compliance processes. This solution underscores zCapital's commitment to maintaining industry-leading regulatory standards while leveraging advanced compliance solutions for its asset management activities.


Solution

For equity trading, zCapital requires the ability to record conversations for a specific period of time. The solution serves as a fast and efficient recording tool, allowing quick access and playback of critical communications. Currently, voice recordings are made to ensure compliance and streamline the asset management process. This strategic solution enables zCapital to efficiently meet its regulatory obligations and maintain its commitment to industry excellence.

ASC's solution provides a seamless and comprehensive recording system which enables swift access and ensures regulatory requirements are met in our asset management activities.

— Reto Portmann, Chief Operating Officer

Benefits of Market-leading Compliance

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|  REC | Capturing all media in Teams (voice, video, chat, screen & others) |  | AI-based Compliance Engine for automated risk management |
|  | Meet all regulatory compliance |  | Native Teams App, certified by Microsoft |
|  | Azure geo-redundancy, any selectable region (secure storage in Switzerland) |  | Fast & easy onboarding requirements |

About ASC Technologies

ASC is a worldwide leading provider of software and cloud solutions in the field of omnichannel recording, quality management, and analytics. We offer solutions for recording as well as AI-based analysis and evaluation of all communications – with full flexibility as a cloud service, on-premise or as a hybrid solution. Headquartered in Germany with subsidiaries in 14 countries and experienced system integration partners in over 60 countries, ASC is the #1 Europe-based player in its industry.

ASC Technologies AG

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